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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Rajesh V. Mehta, et al

PROCESS FOR THE FORMATION  
OF PARTICULATE MATERIAL

Serial No. 10/814,354

Filed 31 March 2004

Group Art Unit: 1723

Examiner: To Be Assigned

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*Valerie J. Richardson*  
Valerie J. Richardson  
*April 11, 2006*  
Date

Commissioner for Patents  
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Alexandria, VA. 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION**  
**BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99**

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application. In compliance with §1.56, such documents are listed in the enclosed Form EKC-1449.

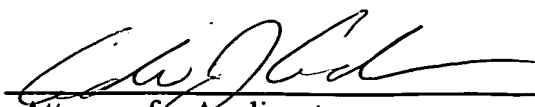
Applicants request that the Patent and Trademark Office make of record the above-identified documents. A full text copy of each document is attached, except for copies of U.S. patents and U.S. patent application publications. For documents not in English, an English translation or an equivalent English language patent or publication may be attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement (hereinafter "Statement") is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application (other than a CPA), or before the mailing of a first Office action on the merits or before the mailing of a first Office action after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, prior to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Certification is enclosed.

- III. ☐ This Statement is being filed under §1.97(c), with a certification under, §1.97(e) **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):
- ☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.
- IV. ☐ This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):
- ☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,

  
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Enclosures

FORM EKC-144

APR 14 2006

Atty. Docket No.

86430AJA

Serial No.

10/814,354

Customer No. 01333

Applicant:

Rajesh V. Mehta, et al

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Filing Date

31 March 2004

Group

1723

## U.S. PATENT DOCUMENTS

Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,582,731	04-15-1986	Smith			
	4,734,227	03-29-1988	Smith			
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	4,737,384	04-12-1988	Murthy et al			
	4,923,720	05-08-1990	Lee et al			
	4,970,093	11-13-1990	Sievers et al			
	5,415,897	05-16-1995	Chang et al			
	5,639,441	06-17-1997	Sievers et al			
	5,707,634	01-13-1998	Schmitt			
	5,851,453	12-22-1998	Hanna et al			
	6,221,435	04-24-2001	Nielsen			
	6,440,337	08-27-2002	Hanna et al			
	6,471,327	10-29-2002	Jagannathan et al			
	6,575,721	06-10-2003	Daly et al			
	2002/0000681	01-03-2002	Gupta et al			
	2002/0015797	02-07-2002	Hunt et al			
	2003/0107614	06-12-2003	Nelson et al			
	2003/0132993	07-17-2003	Sadasivan et al			
	2003/0227499	12-11-2003	Sadasivan et al			
	2003/0227502	12-11-2003	Sadasivan et al			
	2004/0063809	04-01-2004	Fu et al			
	2005/0220994	10-06-2005	Mehta et al			
	2005/0221018	10-06-2005	Mehta et al			

## FOREIGN PATENT DOCUMENTS

Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 370 268	05-30-1990	Europe				X
	0 473 424	03-04-1992	Europe				X
	0 520 728	12-30-1992	Europe				X
	1 236 519	09-04-2002	Europe				X
	1 329 315	07-23-2003	Europe				X
	1 391 944	02-25-2004	Europe				X
	95/01221	01-12-1995	PCT				X
	96/00610	01-11-1996	PCT				X
	97/31691	09-04-1997	PCT				X
	02/058674	08-01-2002	PCT				X

FORM EKC-1449

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Applicant:

Rajesh V. Mehta, et al

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(Use several sheets if necessary)

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31 March 2004

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## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

"Strategies For Particle Design Using Supercritical Fluid Technologies"; of Peter York; Pharmaceutical Science & Technology Today; Vol. 2; No. 11; November 1999; pages 430-440

"Supercritical Antisolvent Precipitation of Micro- And Nano-Particles"; of Ernesto Reverchon; Journal of Supercritical Fluids; Vol. 15; 1999; pages 1-21

"Particle Design Using Supercritical Fluids: Literature And Patent Survey"; of Jennifer Jung et al; Journal of Supercritical Fluids; Vol. 20; 2001; pages 179-219

"Finely-Divided Powders By Carrier Solution Injection Into A Near Or Supercritical Fluid"; of William J. Schmitt et al; AIChE Journal; Vol. 41; No. 11; November 1995; pages 2476-2486

"Current Issues Relating To Anti-solvent Micronisation Techniques And Their Extension To Industrial Scales"; of Russell Thiering et al; Journal of Supercritical Fluids; Vol. 21; 2001; pages 159-177

B. Helfgen et al; "Simulation Of Particle Formation During The Rapid Expansion Of Supercritical Solutions"; Vol. 32; pages 295-319; 2001

John L. Fulton et al' "Thin Fluoropolymer Films And Nanoparticle Coatings From The Rapid Expansion Of Supercritical Carbon Dioxide Solutions With Electrostatic Collection"; Polymer 44; 2003; pages 3627-3632

Jean W. Tom et al; "Particle Formation With Supercritical Fluids-A Review"; Journal of Aerosol Science; Vol. 22; No. 5; pages 555-584; 1991

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.